

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

*In re Lehman Brothers Holdings, Inc., et al.,*

Case Number: 08-13555

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**CVI CVF III Lux Master S.A.R.L.**

Name of Transferee

**Lehman Brothers (Luxembourg) Equity Finance S.A.**

Name of Transferor

Name and Address where notices to  
transferee should be sent:

11-13 Boulevard de La Foire  
L-1528 Luxembourg, Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

Scheduled Claim #:

Scheduled Amount: \$

Proof of Claim #: 33059

POC Amount: **\$14,553,045.00**

Debtor: **Lehman Brothers Holdings, Inc.**

Phone: 952.444.4917

Last Four Digits of Acct #: N/A

Phone: \_

Last Four Digits of Acct #: N/A

Name and Address where transferee  
Payments should be sent (if  
different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferor's Agent

by Carval Investors, LLC  
its attorney-in-fact

Date: 7-31-17

CVI CVF III Lux Master S.A.R.L.

71

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**CVI CVF III Lux Master S.A.R.L.**

**Lehman Brothers (Luxembourg) Equity Finance S.A.**

Name of Transferee

Name of Transferor

Name and Address where notices to  
transferee should be sent:

Scheduled Claim #: \_\_\_\_\_  
Scheduled Amount: \_\_\_\_\_

11-13 Boulevard de La Foire  
L-1528 Luxembourg, Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

Proof of Claim #: **33061**

POC Amount: **\$67,025,603.00**

Debtor: **Lehman Brothers Holdings, Inc.**

Phone: 952.444.4917  
Last Four Digits of Acct #: N/A

Phone: **Lehman Brothers Holdings, Inc.**  
Last Four Digits of Acct #: N/A

Name and Address where transferee  
Payments should be sent (if  
different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferor's Agent

by Carval Investors, LLC  
its attorney-in-fact

Date: 7-31-17

CVI CVF III Lux Master S.A.R.L.

71

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**CVI CVF III Lux Master S.A.R.L.**  
Name of Transferee

**Lehman Brothers (Luxembourg) Equity Finance S.A.**  
Name of Transferor

Name and Address where notices to  
transferee should be sent:

Scheduled Claim #: \_\_\_\_\_

Scheduled Amount: \$ \_\_\_\_\_

Proof of Claim #: **33057**

11-13 Boulevard de La Foire  
L-1528 Luxembourg, Luxembourg

w/copy to:

CVI CVF III Lux Master S.A.R.L.  
c/o Carval Investors, LLC  
Attn: Jeremiah Gerhardson  
9320 Excelsior Boulevard, 7<sup>th</sup> Floor  
Hopkins, MN 55343

POC Amount: **\$700,837.00**

Debtor: **Lehman Brothers Holdings, Inc.**

Phone: 952.444.4917

Last Four Digits of Acct #: N/A

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

Name and Address where transferee  
Payments should be sent (if  
different from above):  
**SAME ADDRESS AS ABOVE**

Phone: 952.444.4917

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferor's Agent

by Carval Investors, LLC  
its attorney-in-fact

Date: 7-31-17

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21